



Retired Horse Return to Participation Form

INSTRUCTIONS: THIS FORM SHOULD BE USED WHERE THE SUBJECT RETIRED HORSE IS TO BE CONSIDERED UNRETIRED AND RETURNING TO PARTICIPATION IN COVERED HORSERACES AND/OR TIMED AND REPORTED WORKOUTS. Under Rule 3050(b) of the Equine Anti-Doping and Controlled Medication Protocol (the “Protocol”) codified as Rule Series 3000, the Owner must provide written notice of such unretirement to the Horseracing Integrity & Welfare Unit (“HIWU”). As the Owner of the below-referenced retired horse, please complete this form, and return the completed form to retirement@hiwu.org. If the information in the submitted form is incomplete, the requested unretirement will not be accepted by HIWU, and the form will be returned to you for full completion and resubmission.

A. RETIRED HORSE INFORMATION

NAME OF RETIRED HORSE: _____

DATE OF RETIREMENT (mm/dd/yyyy): _____

DATE OF LAST COVERED HORSERACE: (mm/dd/yyyy): _____

DATE OF RETURN TO PARTICIPATION TO BEGIN 4 MONTH TESTING WINDOW (mm/dd/yyyy): _____

* If you desire to seek an early return to participation for the retired horse (Rule 3050(b)(6)), please complete and submit Exhibit B below with this Form.

** If a retired horse is serving a period of Ineligibility, the entire period of Ineligibility must be served prior to the horse returning to participation in Covered Horseraces or Timed and Reported Workouts.

CURRENT LOCATION OF RETIRED HORSE:

Address City State Zip

MICROCHIP(S): _____ TATTOO: _____ AGE: _____

GENDER: ☐ Filly ☐ Mare ☐ Colt ☐ Stallion ☐ Gelding ☐ Ridgling

DATE OF UPCOMING COVERED HORSERACE (mm/dd/yyyy): _____ TRACK: _____

RESPONSIBLE PERSON (TRAINER): _____
First Last

ADDRESS: _____
Address City State Zip



REASON FOR UNRETIREMENT:

Please specify:

TRAINING LOCATION:

Address

City

State

Zip

B. OWNER INFORMATION

NAME OF CURRENT OWNER: _____
First Last

BUSINESS NAME (if applicable): _____

ADDRESS: _____
Address City State Zip

PHONE: _____ EMAIL: _____

NAME OF NEW OWNER (if applicable): _____
First Last

BUSINESS NAME (if applicable): _____

ADDRESS: _____
Address City State Zip

PHONE: _____ EMAIL: _____

☐ No change in ownership



By executing this form, I represent that it is my intention to unretire the above-referenced retired horse and return it to Thoroughbred horseracing. I further represent that (i) I am the Owner of the retired horse on this form, (ii) I have full authority to execute this form, and (iii) the information supplied on this form is complete and accurate. I understand that, under the Protocol, I may be subject to penalties for providing any false or misleading information to HIWU. I have read and understand Exhibit A/Rule 3050(b) enclosed herewith and agree and understand that I am bound by the Protocol, including its supporting rules and documents.

Signature of Owner

Date

Printed Name of Owner



Exhibit A/Rule 3050(b)

Rule 3050(b). Covered Horses

(1) If an Owner wishes to retire a Covered Horse such that it is no longer made available for Testing, the Owner must provide written notice of such retirement to the Agency, in accordance with its procedures.

(2) A Covered Horse that has been retired in accordance with the previous clause may not participate in a Timed and Reported Workout or be entered in a Covered Horserace until the Covered Horse has been made available for Testing at least four (4) months prior to notice being given to the Agency (in accordance with its procedures) of the intention to unretire the Covered Horse.

(3) If a Covered Horse is retired from horseracing or suffers a fatal condition while the Agency is conducting a Results Management process in respect of it, the Agency retains jurisdiction to complete its Results Management process. If a Covered Horse is retired or suffers a fatal condition before any Results Management process has begun, and the Agency had jurisdiction over the Covered Horse at the time the Anti-Doping Rule Violation or Controlled Medication Rule Violation was committed, the Agency retains jurisdiction to conduct Results Management in respect of that violation. If a Covered Horse suffers a fatal condition, the Agency retains Testing authority over that horse in accordance with Rule 3132(d).

(4) If a Covered Horse is retired from horseracing while subject to a period of Ineligibility, the Owner must notify the Agency in writing of such retirement. If the Owner wishes that horse to return to participation in Covered Horseraces or Timed and Reported Workouts, the Owner must first provide the Agency with written notice and make the Covered Horse available for Testing for at least four (4) months prior to such participation or for the remainder of the Covered Horse's period of Ineligibility, whichever is longer.

(5) In order to manage the number of Covered Horses registered with the Authority, the Agency may retire a Covered Horse based on inactivity (*i.e.*, where the Covered Horse does not participate in a Timed and Reported Workout or Covered Horserace for eighteen (18) months or more, excluding periods of inactivity due to a Provisional Suspension or period of Ineligibility) by sending written notice thereof to the Authority and the Owner in accordance with the Agency's procedures. If the Owner disputes that retirement, while the dispute is pending the Covered Horse may not participate in any Timed and Reported Workout or Covered Horserace but must be made available for Testing. Upon resolution of the dispute, the Authority will notify the Agency whether the horse is retired and, therefore, no longer subject to Testing. If the Owner wishes to return the Covered Horse to participation in Timed and Reported Workouts or Covered Horseraces, the Owner must first provide the Agency with written notice and make the Covered Horse available for Testing for at least 4 months prior to such participation.



(6) The Agency may reduce the four (4) month notice period in Rule 3050(b) to two (2) months where the Owner of the Covered Horse submits an application establishing good cause to do so, and where the Agency approves such application based on a review conducted in accordance with the objectives of the Protocol.

(7) The Agency may forward notifications of retirement of Covered Horses to Interested Parties or other Persons with a need to know.



Exhibit B/Rule 3050(b)(6) Application

Pursuant to Rule 3050(b)(6) of the Protocol, HIWU may reduce the four (4) month notice and testing period to two (2) months where the Owner of the retired horse establishes good cause, and where HIWU approves such application based on a review conducted in accordance with the objectives of the Protocol. HIWU will review the completed Retired Horse Return to Participation Form along with this Exhibit B and determine whether the requirement of good cause has been satisfied. The Owner will receive written notification of an approval, or a denial if the requirement of good cause has not been satisfied.

Please include any relevant details below for an early return to participation for the retired horse.